Deep Learning for Natural Language Processing

Jan Buys Department of Computer Science University of Cape Town SACAIR 2020 Tutorial



Natural Language Processing (NLP)

• Systems that process language (text or speech) and enable human-computer interaction through language



Deep Learning

Machine Learning with Neural Networks

- Large datasets, large models, high computational cost
- Representation learning: learn the features

Why Deep Learning for NLP?

- Language is hard!
- Data sparsity
- Long sequences
- Deep learning enables learning reusable representations

Spam Detection



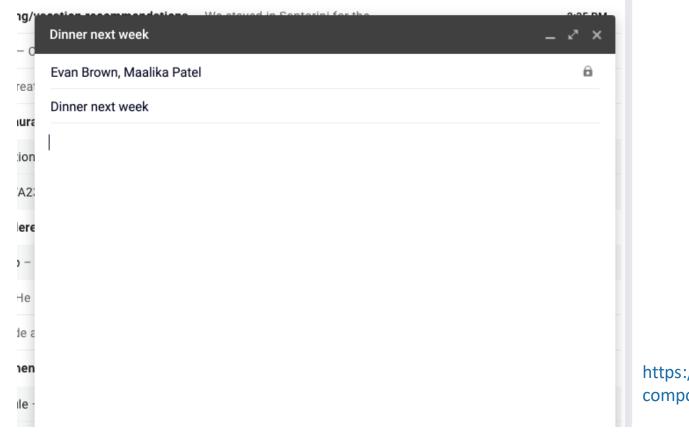
CONGRATULATION!!!

With reference to the 1,377th EuroMillions draw which took place on Tuesday 1st December 2020 at 21:00 CEST (20:00 BST) and the winning numbers drawn were: Lucky numbers 14-20-29-47-49 Star Number 4-12 Millionaire Maker: MNHF52876 serial number ZWWD49193 Prize credited to file EURO/86169/2021 An official letter was sent to your address. Your email address has been awarded the sum of 2,713,908.40 GB pounds. Kindly, confirm receipt of this notification by contacting your claims officer Mr. Kennith William for more details. visit the link https://www.euro-millions.com/results/01-12-2020 to view your winning details as published on the Euro-Millions site.

Euro-Millions prizes must be claimed within 180 days of the draw date. This is a confidential mail sent to ONLY winners of this draws.

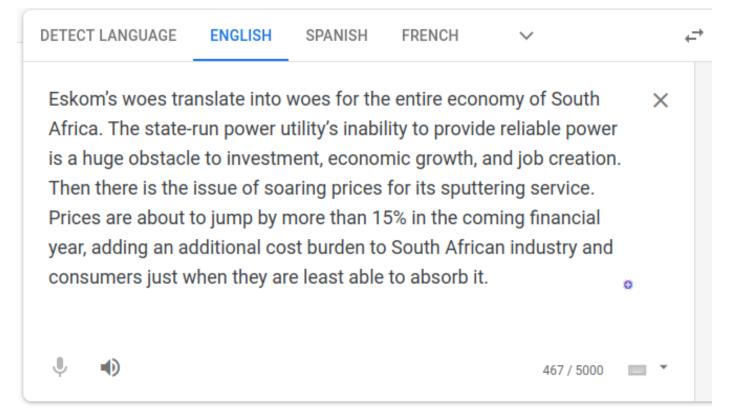
If you have any questions, please contact our customer support.

• Smart Compose



https://ai.googleblog.com/2018/05/smart compose-using-neural-networks-to.html

Machine Translation



translate.google.com Text: www.dailymaverick.co.za ⁸

Machine Translation

AFRIKAANS ENGLISH SPANISH V

Eskom se ellende vertaal in ellende vir die hele ekonomie van Suid-Afrika. Die onvermoë van die staatsbeheerde kragbron om betroubare krag te lewer, is 'n groot struikelblok vir belegging, ekonomiese groei en werkskepping. Dan is daar die prys van stygende pryse vir sy sputteringsdiens. Pryse gaan in die komende boekjaar met meer as 15% styg, wat die Suid-Afrikaanse industrie en verbruikers 'n bykomende kostelas sal toevoeg net wanneer hulle dit die minste kan absorbeer.



translate.google.com Text: www.dailymaverick.co.za

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• Virtual Assistants

"Alexa, wake me up at 7 in the morning."

"Alexa, what's my Flash Briefing?"

"Alexa, what's on my calendar today?"

"Alexa, what's the weather in London?"

"Alexa, play Katy Perry from Prime Music."

"Alexa, how's my commute?"



Outline

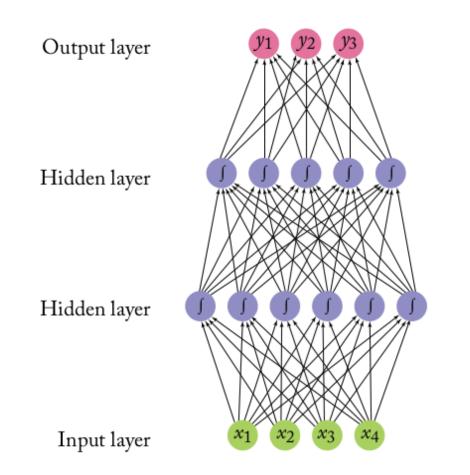
- 1. Word representations
- 2. Sequence processing
- 3. Transformers and contextualized representations

We won't cover:

- Machine Learning basics
- Model Optimization
- Implementation details

1. Word Representations

Feed-forward Neural Networks



Feed-forward Neural Networks (FFNNs)

1 Hidden layer:

$$NN_{MLP1}(x) = g(xW^{1} + b^{1})W^{2} + b^{2}$$
$$x \in \mathbb{R}^{d_{in}}, W^{1} \in \mathbb{R}^{d_{in} \times d_{1}}, b^{1} \in \mathbb{R}^{d_{1}}, W^{2} \in \mathbb{R}^{d_{1} \times d_{2}}, b^{2} \in \mathbb{R}^{d_{2}}.$$

2 Hidden layers:

NN_{MLP2}(x) =y

$$h^{1} = g^{1}(xW^{1} + b^{1})$$

 $h^{2} = g^{2}(h^{1}W^{2} + b^{2})$
 $y = h^{2}W^{3}$.

Words and tokens

• Original Text:

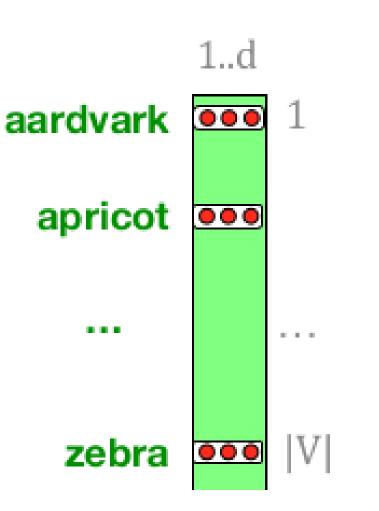
"A number of comparable countries also experienced 'bounce-backs' in employment (to varying degrees), including Brazil, Colombia, Ghana, India, Mexico and Uruguay," says principal investigator Nic Spaull in a consolidated report.

• Tokenized Text:

" A number of comparable countries also experienced ' bounce – backs ' in employment (to varying degrees) , including Brazil , Colombia , Ghana , India , Mexico and Uruguay , " says principal investigator Nic Spaull in a consolidated report .

Defining a vocabulary

- Set vocabulary size
- Handle Out of Vocabulary words
- Learn a vector for each word in vocabulary



Word vectors (embeddings)

• Similar words should have similar vectors (based on cosine similarity)



• Autocomplete





https://jalammar.github.io /illustrated-word2vec/

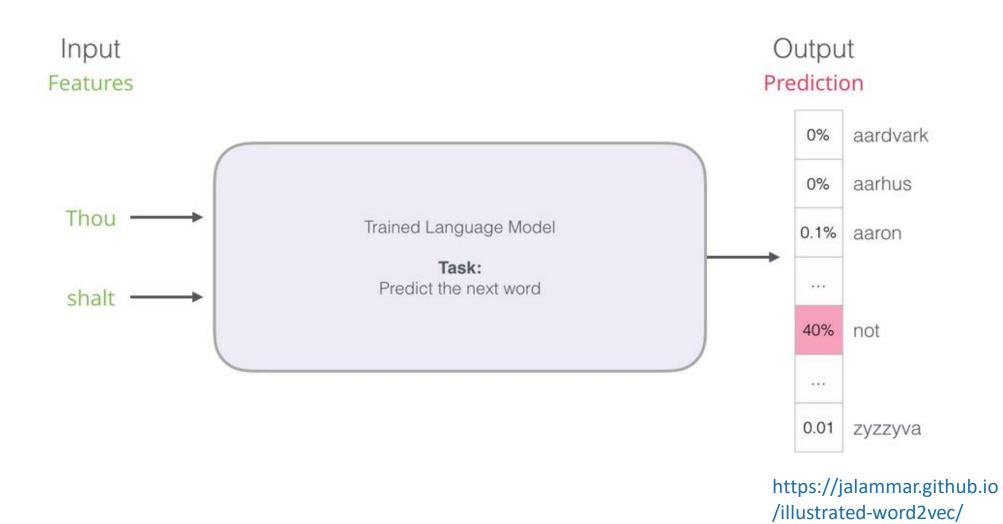
input/feature #1

input/feature #2

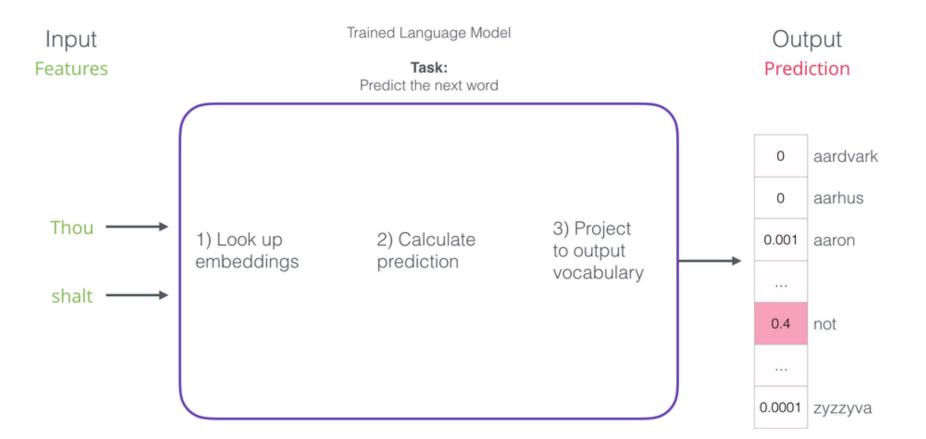
output/label

Thou shalt

https://jalammar.github.io /illustrated-word2vec/ 20



21



https://jalammar.github.io /illustrated-word2vec/

22

Thou shalt not make a machine in the likeness of a human mind

| | Slid | ing wi | ndow | acr | oss runnir | ng tex | d | | Dataset | |
|------|-------|--------|------|-----|------------|--------|-----|----------|---------|--------|
| | | | | | | | | input 1 | input 2 | output |
| thou | shalt | not | make | а | machine | in | the | thou | shalt | not |

thou

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Thou shalt not make a machine in the likeness of a human mind

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| Sliding | window | across | running | text |
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|---------|--------|--------|---------|------|

a

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machine

machine

Datasetinput 1input 2outputthoushaltnotshaltnotmake

https://jalammar.github.io /illustrated-word2vec/

Thou shalt not make a machine in the likeness of a human mind

| | Slid | ing w | indow | acr | oss runnir | ig te> | ct | | Dataset | |
|------|-------|-------|-------|-----|------------|--------|-----|-------------|---------|---------|
| | | | | | | | | input 1 | input 2 | output |
| thou | shalt | not | make | а | machine | in | the | thou | shalt | not |
| thou | shalt | not | make | а | machine | in | the | shalt | not | make |
| thou | shalt | not | make | а | machine | in | the | not | make | а |
| thou | shalt | not | make | а | machine | in | the | make | а | machine |
| thou | shalt | not | make | а | machine | in | the | а | machine | in |

https://jalammar.github.io /illustrated-word2vec/

25

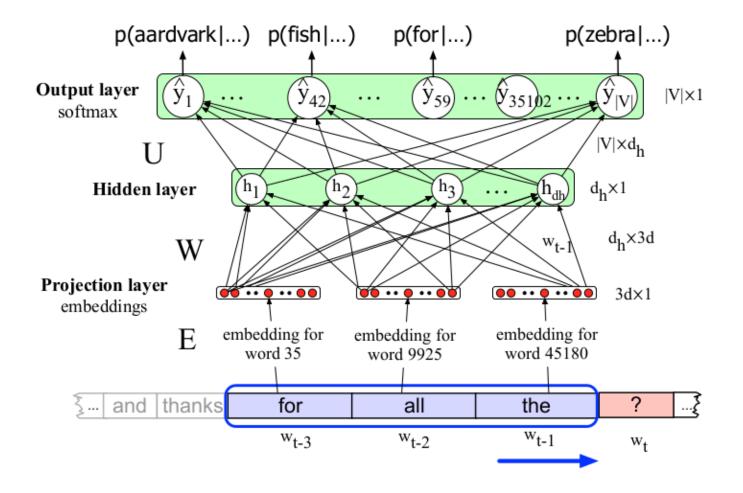
• Language Modelling objective

$$P(w_{1:n}) = \prod_{i=1}^{n} P(w_i | w_{< i})$$

• FFNN Language Models estimate

$$P(w_n|w_{1:n-1}) \approx P(w_n|w_{(n-N+1):(n-1)})$$

• Can be used to train word embeddings



• Use context from both directions

Jay was hit by a _____ bus in...

| by a | red | bus | in |
|------|-----|-----|----|
|------|-----|-----|----|

• Skip-gram: Predict neighbouring words from current word

| by a red bus in |
|-----------------|
|-----------------|

| input | output |
|-------|--------|
| red | by |
| red | а |
| red | bus |
| red | in |

• Skip-gram: Predict neighbouring words from current word

...

Thou shalt not make a machine n the likeness of a human mind

| | thou | shalt | not | make | а | machine | in | the |
|--|------|-------|-----|------|---|---------|----|-----|
|--|------|-------|-----|------|---|---------|----|-----|

| | thou | shalt | not | make | а | machine | in | the | |
|--|------|-------|-----|------|---|---------|----|-----|--|
|--|------|-------|-----|------|---|---------|----|-----|--|

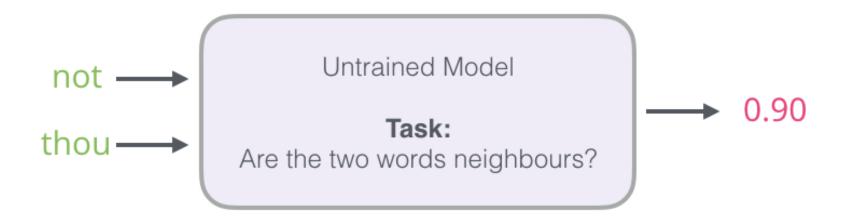
| input word | target word |
|------------|-------------|
| not | thou |
| not | shalt |
| not | make |
| not | а |
| make | shalt |
| make | not |
| make | а |
| make | machine |

https://jalammar.github.io /illustrated-word2vec/

- Skip-gram with negative sampling (Mikolov et al., 2013)
- Change the word prediction task:



• To a binary classification task:



• The model is trained using neighbouring context words as positive examples and sampled non-neighbour words as negative examples



• Binary classification task: does word w co-occur with context word c

$$P(+|w,c) = \sigma(c \cdot w) = \frac{1}{1 + \exp(-c \cdot w)}$$

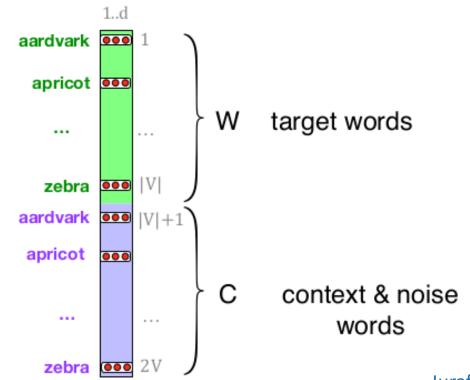
$$P(-|w,c) = 1 - P(+|w,c)$$

= $\sigma(-c \cdot w) = \frac{1}{1 + \exp(c \cdot w)}$

• Loss function with one positive and *k* negative examples:

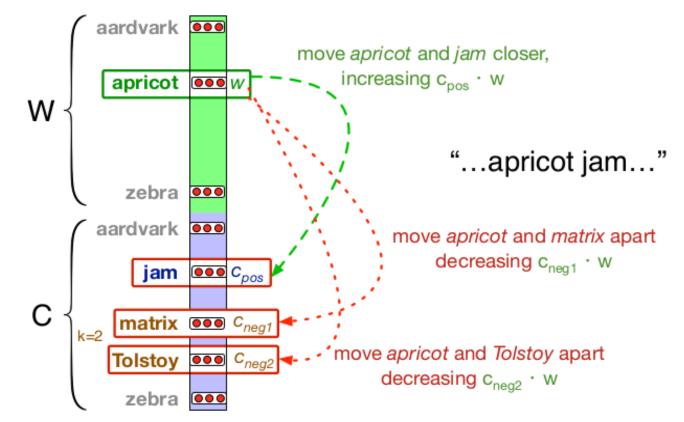
$$-\left[\log\sigma(c_{pos}\cdot w) + \sum_{i=1}^k\log\sigma(-c_{neg_i}\cdot w)\right]$$

• Learns 2 vectors for each word:



Learning word embeddings: word2vec

• Training intuition:



Word Embedding Inference

king – man + woman ~= queen

| Czech + currency | Vietnam + capital | German + airlines | Russian + river |
|------------------|-------------------|------------------------|-----------------|
| koruna | Hanoi | airline Lufthansa | Moscow |
| Check crown | Ho Chi Minh City | carrier Lufthansa | Volga River |
| Polish zolty | Viet Nam | flag carrier Lufthansa | upriver |
| CTK | Vietnamese | Lufthansa | Russia |

Word-based Classification

- Window-based features: the word embeddings corresponding to relative position in the text are fed as input to the FFNN
- Continuous bag of words: all the embeddings *v(f)* in a variable-length context are averaged:

CBOW
$$(f_1, ..., f_k) = \frac{1}{k} \sum_{i=1}^k v(f_i).$$

• This can be extended to a weighted average with weights *a*:

WCBOW
$$(f_1, ..., f_k) = \frac{1}{\sum_{i=1}^k a_i} \sum_{i=1}^k a_i v(f_i).$$

Goldberg (2017) Ch. 8 39

Sentiment Analysis



Funny, whimsical and delightful to a fault, it is one of those movies that engages minds of all ages.

Full Review 🗹

July 7, 2014



*

It's hard to generate a sense of warmth when the plot points all feel so coldly calculated, and it doesn't help that the musical numbers are so pedestrian.

Full Review 🗹

November 27, 2013



Adam Nayman Globe and Mail Top Critic

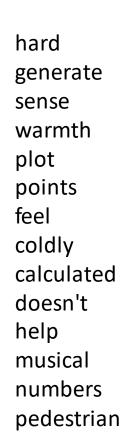
https://stanford-cs221.github.io/autumn2019 /assignments/sentiment/index.html

Sentiment Analysis

- Extract content words
- Map to word vectors
- Average (CBoW)
- Feed through a feed-forward layer
- Binary classification (positive or negative) with sigmoid output layer



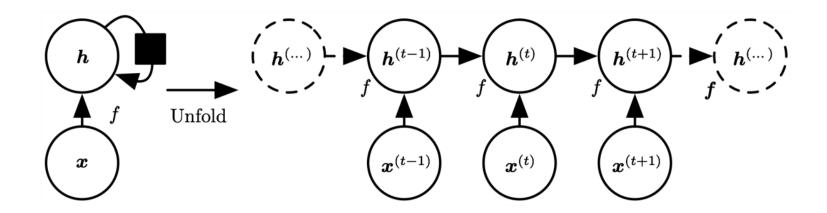
funny whimsical delightful Fault is movies engages minds ages



2. Sequence Processing

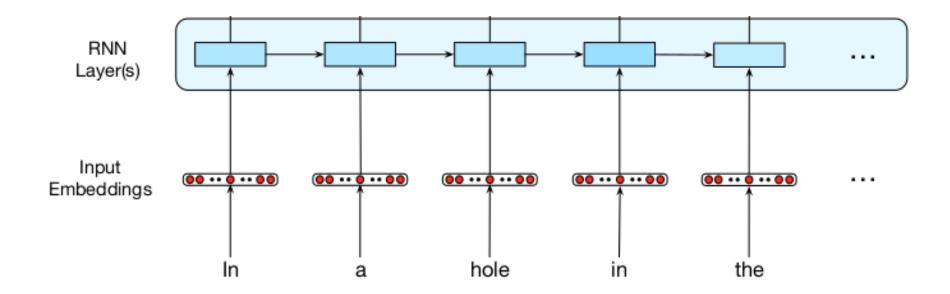
Recurrent Neural Networks (RNNs)

- Neural network that processes a sequence one time step at a time, perform same computation at each timestep (recurrently)
- Represent with unfolding computation graphs



Recurrent Neural Networks (RNNs)

• An RNN can read a sequence of words:



Recurrent Neural Networks (RNNs)

• RNN Computation at each time step:

$$egin{array}{rcl} e_t &=& E^T x_t && ext{Embedding layer} \ h_t &=& g(Uh_{t-1} + We_t) && ext{Recurrent layer} \end{array}$$

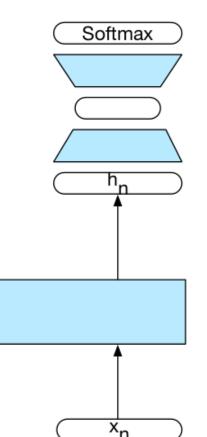
- Each RNN step computes a new hidden state using the previous state and a new input
- Parameters are shared (tied) across all time steps
- g is a non-linearity (usually tanh)

RNN Applications: 1. Sequence to label

Xч

• Place a classification layer over the final RNN hidden state

 $y = \operatorname{softmax}(Vh_n)$



Jurafsky and Martin (2020) Ch. 9 46

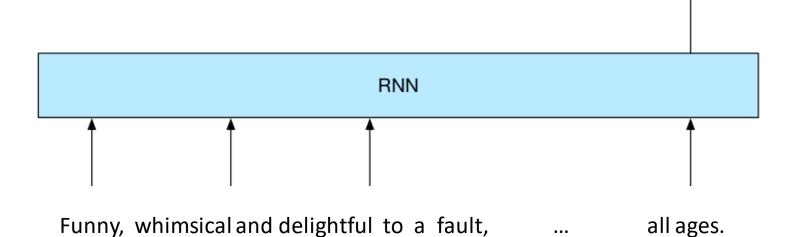
RNN

Xq

Xo

RNN Applications: 1. Sequence to label

• Example: Sentiment analysis



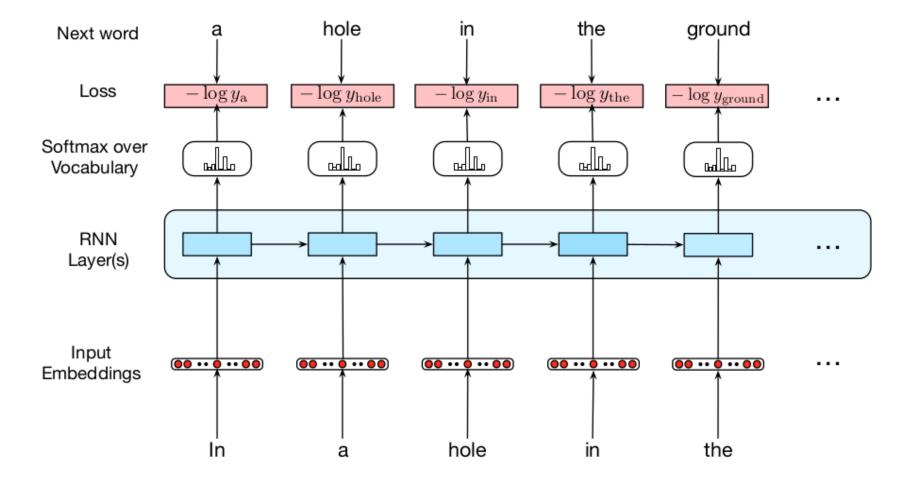
RNN Applications: 2. Language Modelling

 Assign probability to each word in a sequence: Condition on all preceding words

$$P(w_{1:n}) = \prod_{i=1}^{n} P(w_i | w_{1:i-1})$$
$$= \prod_{i=1}^{n} y_{w_i}^{i}$$

 $y_t = \operatorname{softmax}(Vh_t)$

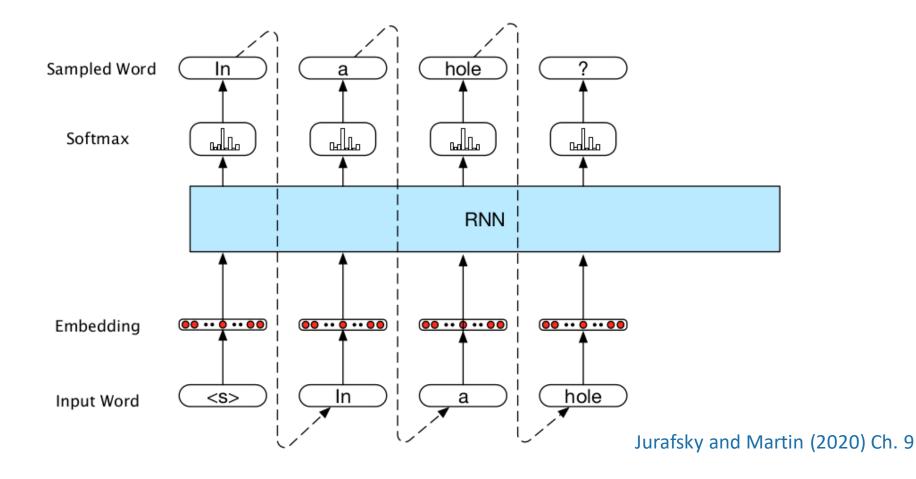
RNN Applications: 2. Language Modelling



Jurafsky and Martin (2020) Ch. 9 49

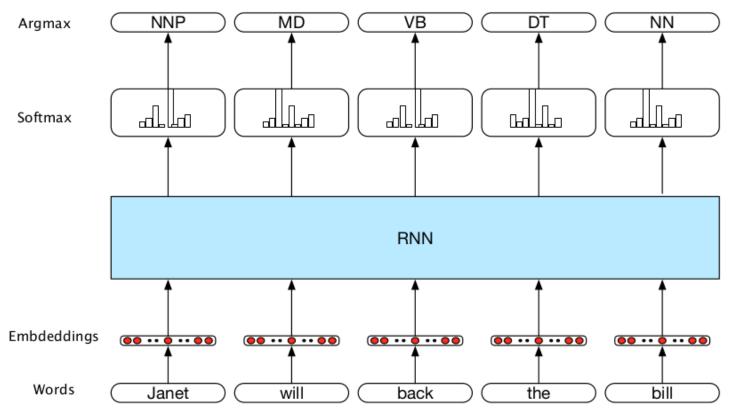
RNN Applications: 2. Language Modelling

• Generating text from a language model:



RNN Applications: 3. Sequence Labelling

- Sequence to sequence with the same length
- Example: Parts-of-Speech Tagging



RNN Applications: 3. Sequence Labelling

• Example: Named Entity Recognition

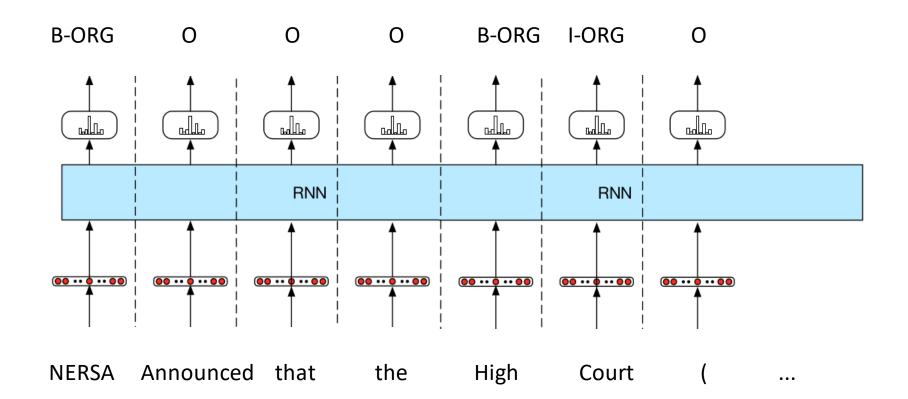
Entities



https://demo.allennlp.org/namedentity-recognition/named-entityrecognition

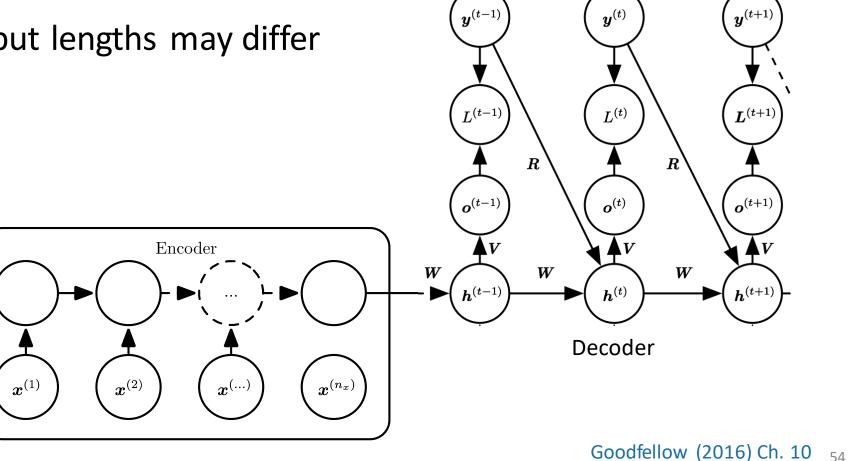
RNN Applications: 3. Sequence Labelling

• Example: Named Entity Recognition



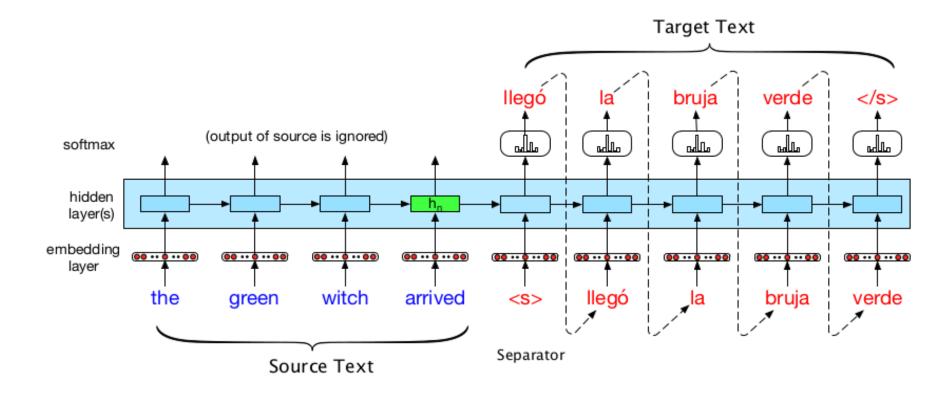
RNN Applications: 4. Sequence to Sequence

- Encoder-decoder model
- Input and output lengths may differ



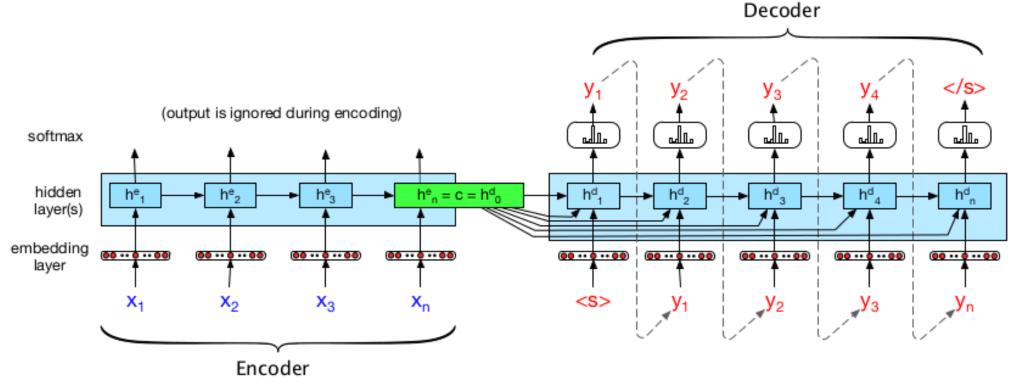
RNN Applications: 4. Sequence to Sequence

• Example: Machine Translation



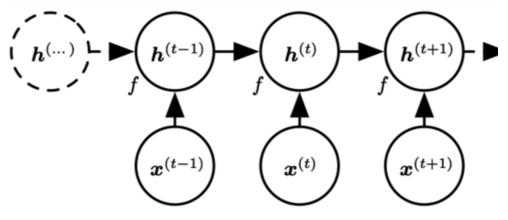
RNN Applications: 4. Sequence to Sequence

- Re-use the context vector from the encoder at each step in the decoder
- Train with teacher forcing



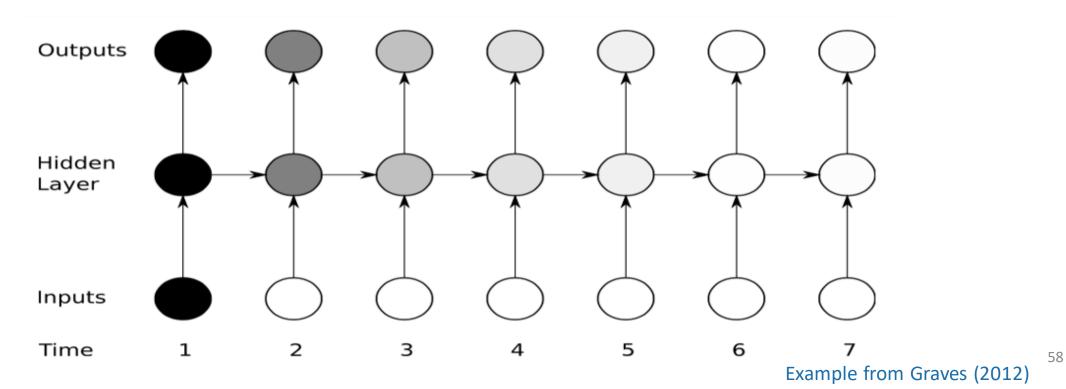
RNN Training: Backpropagation through time

- Unroll the computation graph so that the units at all time steps repeat exactly the same parameters
- Backpropagate the parameters at each unit as if they were different parameters
- Update the parameters by averaging the gradients over all the units in the chain



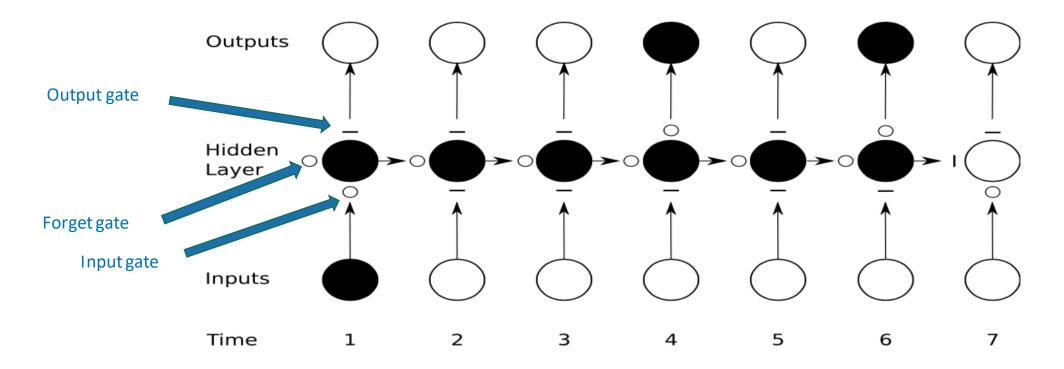
RNN Training: Vanishing gradients problem

- The sensitivity of nodes to an input at one time step decreases over time
- As new inputs overwrite the activations of the hidden layer the network "forgets" earlier inputs



RNN Training: Vanishing gradients problem

 Solution: Use gates to control the flow of information across time steps and between the input, hidden, and output layers

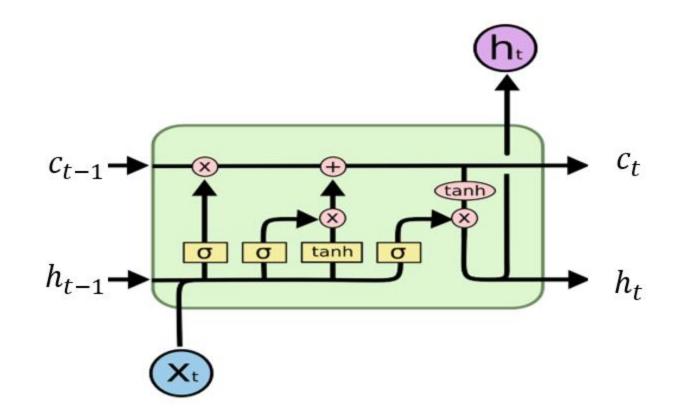


Long Short-Term Memory Networks (LSTMs)

• Replaces the RNN cell with a more complex calculation:

Input gate
$$i_t = \sigma(U^{(i)}x_t + W^{(i)}h_{t-1} + b^{(i)})$$
Forget gate $f_t = \sigma(U^{(f)}x_t + W^{(f)}h_{t-1} + b^{(f)})$ Output gate $o_t = \sigma(U^{(o)}x_t + W^{(o)}h_{t-1} + b^{(o)})$ $\tilde{c}_t = \tanh(U^{(c)}x_t + W^{(c)}h_{t-1} + b^{(c)})$ Memory state $c_t = f_t \circ c_{t-1} + i_t \circ \tilde{c}_t$ Hidden state $h_t = o_t \circ \tanh(c_t)$

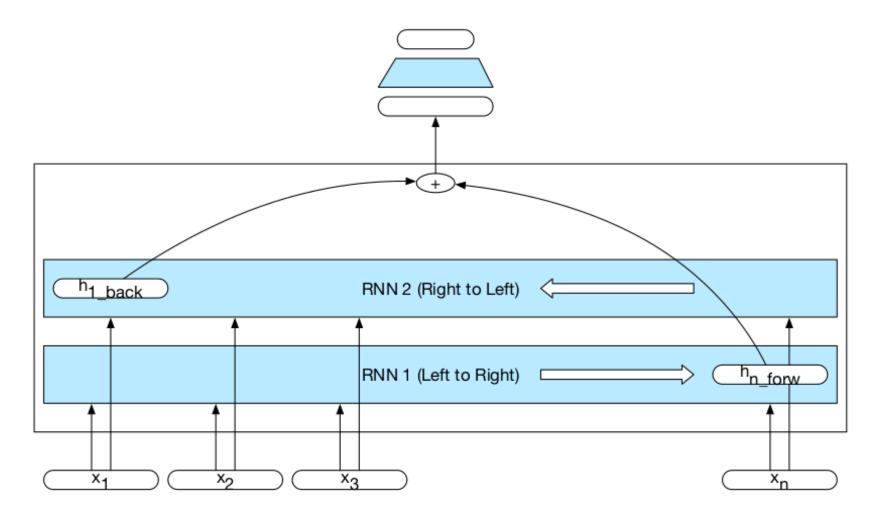
Long Short-Term Memory Networks (LSTMs)



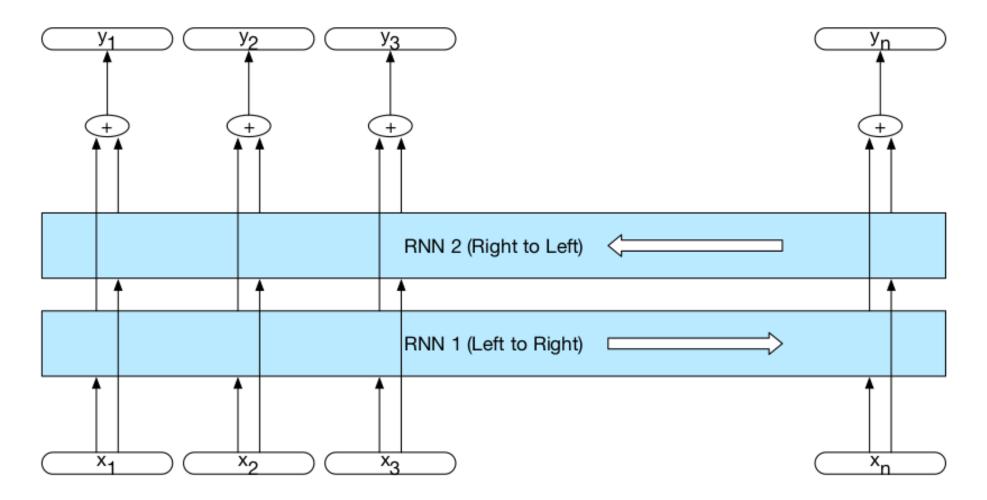
https://colah.github.io/posts/2015-08-Understanding-LSTMs/

61

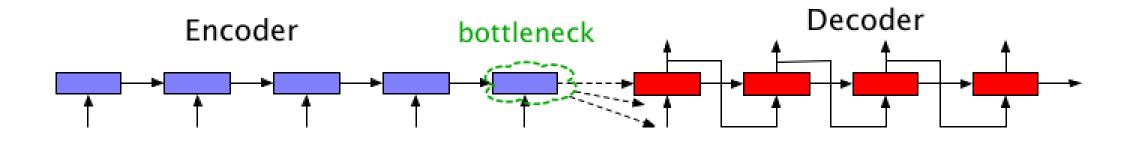
Bidirectional RNNs



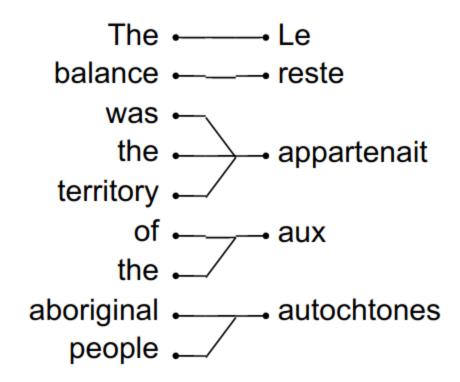
Bidirectional RNNs

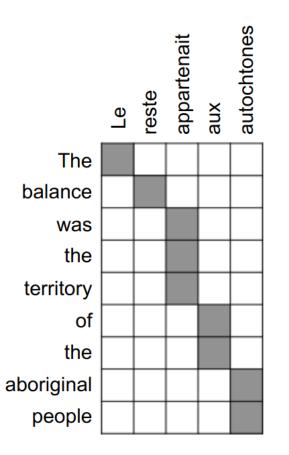


• Even with LSTMs and bidirectional encoders, sequence-to-sequence models still have a bottleneck limiting their capacity



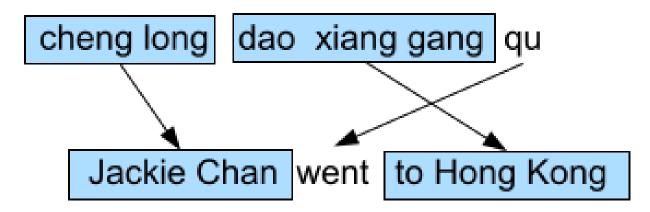
• Word alignments for Machine Translation





Example from Luong, Cho and Manning (2016) 65

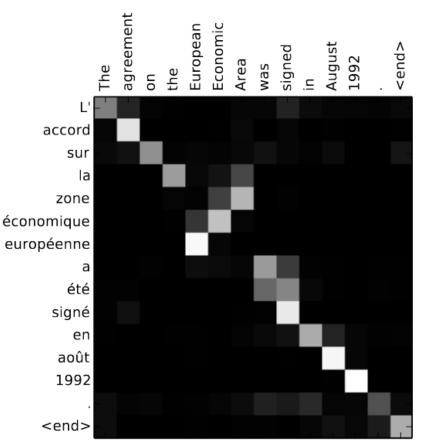
• Phrase alignments for Machine Translation



• Let a neural network predict the alignment between the input and output tokens:

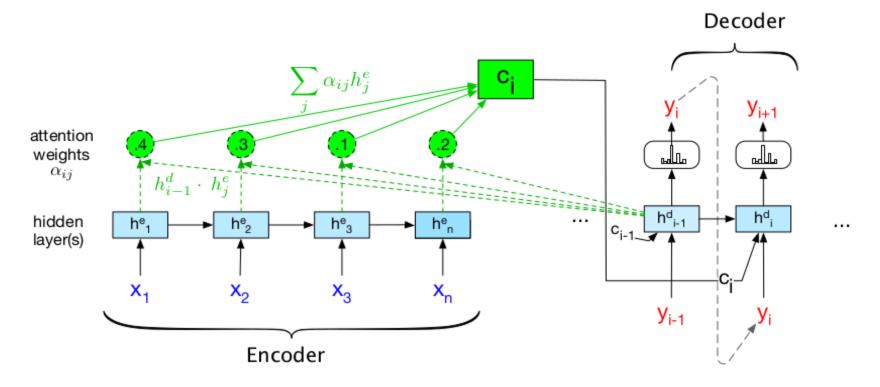
$$z_j = \tanh([s_i^t, s_j^s]W + b)$$
$$j = \operatorname{argmax}_j z_j$$

• Output at position *i* is aligned to input at position *j*



Bahdanau et al. (2015)

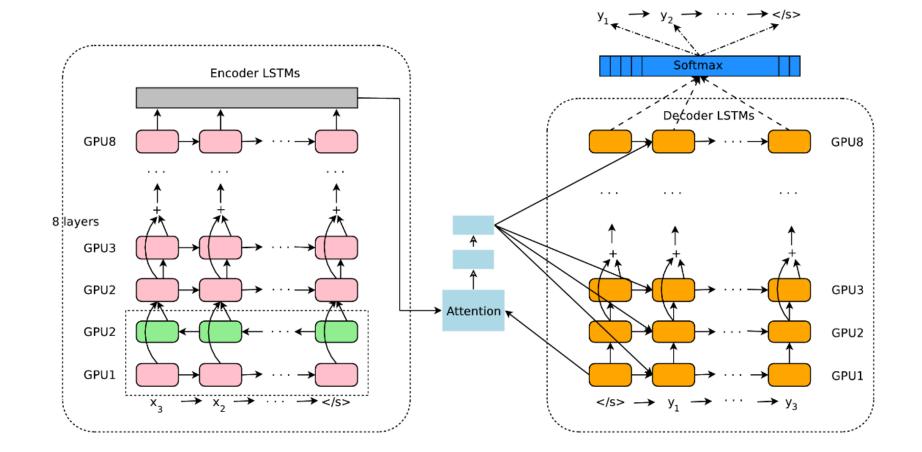
 An attention-based context vector is computed at each output step and fed into the decoder



- Compute a soft alignment z between the input and output
- Compute a weighted average of the encoder hidden states, where the weights are the alignment probabilities $\boldsymbol{\alpha}$
- Integrate the context vector into the decoder and train jointly

$$z_{j} = \tanh([s_{i}^{t}, s_{j}^{s}]W + b)$$
$$\alpha = \operatorname{softmax}(z)$$
$$c = \sum_{j} \alpha_{j} s_{j}^{s}$$

• Google's Neural Machine Translation System (2016)



3. Transformers and contextualized representations

Transformers

Disadvantages of RNN-based models:

- Limited ability to model very long contexts, even when using LSTMs
- Computation cannot be parallelized across time steps, which makes GPU training less efficient

New architecture: Transformers (Vaswani et al., 2017)

Transformers: Attention is all you need

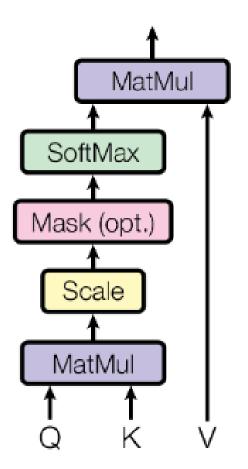
- No recurrence, uses only attention to model interaction between different time steps
- Key idea: *self*-attention among all the elements in a sequence

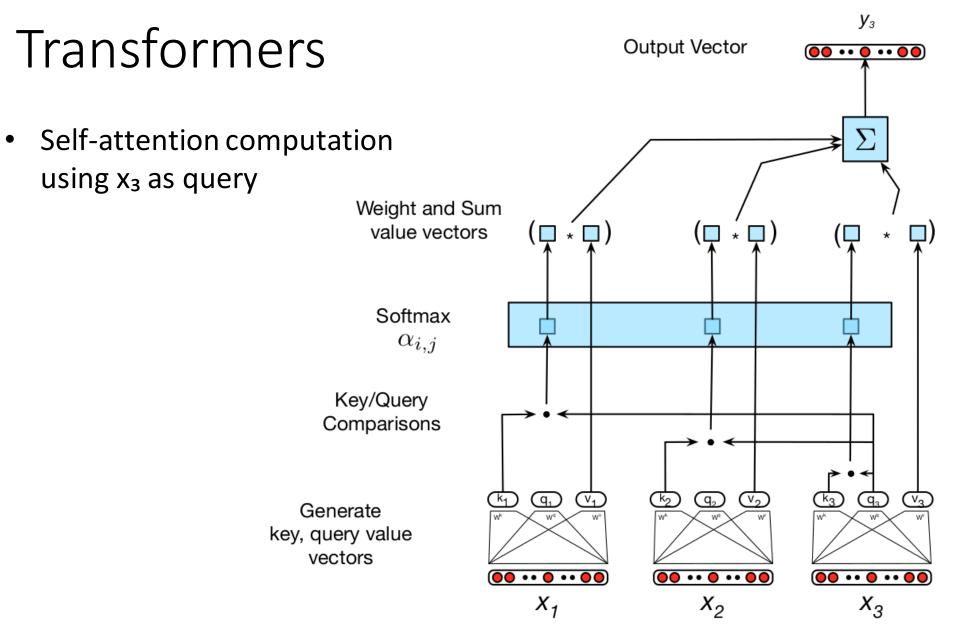
| governments | governments |
|-----------------------|--------------|
| have | have |
| passed | passed |
| new | new |
| laws | laws |
| since | since |
| 2009 | 2009 |
| ma <mark>kin</mark> g | making |
| the | the |
| registration | registration |
| or | or |
| voting | voting |
| process | process |
| more | more |
| difficult | difficult |
| . / | |
| <eos></eos> | <eos></eos> |

Scalar dot-product attention

• Query, Key, Value matrices

$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$



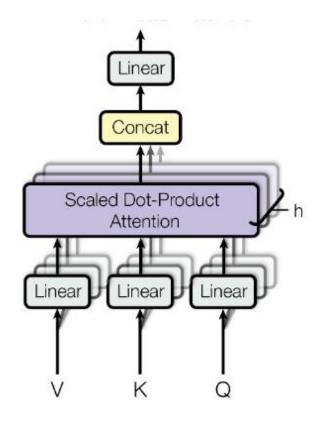


Jurafsky and Martin (2020) Ch. 9

Multi-head attention

• Each head has its own parameters

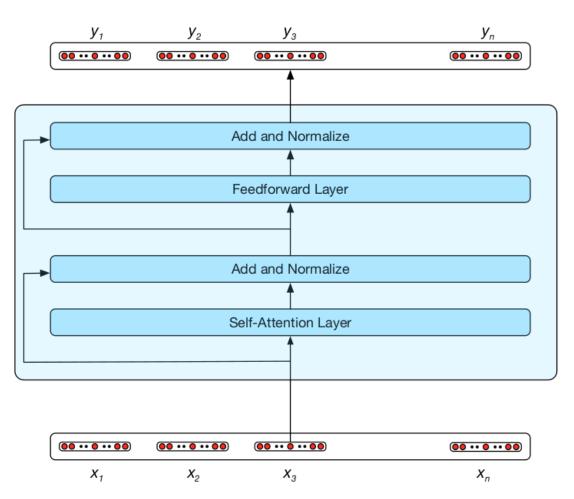
MultiHead(Q, K, V) = Concat(head₁, ..., head_h) W^{O} where head_i = Attention $(QW_{i}^{Q}, KW_{i}^{K}, VW_{i}^{V})$



Transformer Block

- Residual connection and layer normalization
- Position-wise Feedforward network

 $FFN(x) = max(0, xW_1 + b_1)W_2 + b_2$



Jurafsky and Martin (2020) Ch. 9 77

Positional embeddings

- Unlike RNNs, the architecture itself does not encode relative positions
- Add positional embeddings to input
- Embeddings can be learned or predefined based on sin/cos functions

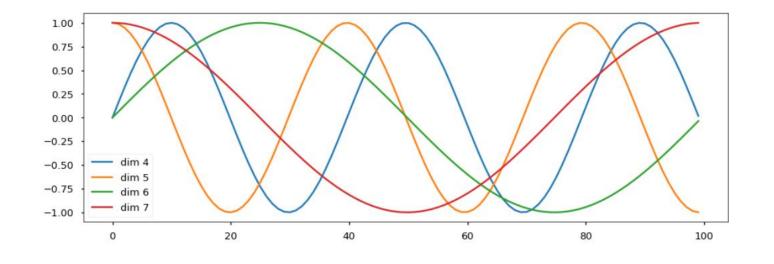
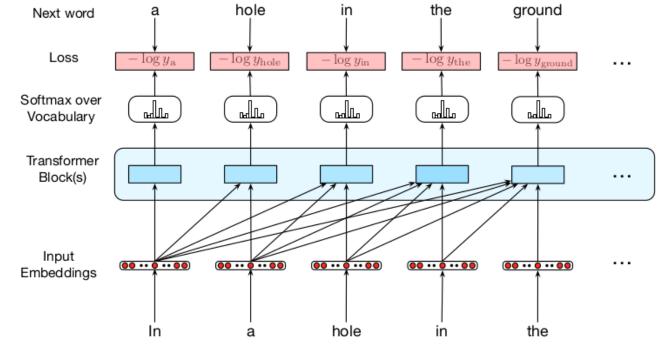


Figure: https://nlp.seas.harvard.edu/2018 /04/03/attention.html 78

Transformer Language Model

• "Causal" attention: do not attend to future words (also in decoders)



• Application: Summarization

Input document:

Will the litany of bad economic news ever end? The Gauteng High Court has ordered that Eskom can reap another R10-billion in the 2021/22 financial year, which means an effective increase in power prices of more than 15%. Eskom's woes translate into woes for the entire economy of South Africa. The state-run power utility's inability to provide reliable power is a huge obstacle to investment, economic growth and job creation. Then there is the issue of soaring prices for its sputtering service. Prices are about to jump by more than 15% in the coming financial year, adding an additional cost burden to South African industry and consumers just when they are least able to absorb it. "The National Energy Regulator of South Africa (Nersa) announced... that the High Court of South Africa (Gauteng Division) has ordered that an amount of R10-billion be added to Eskom's allowable revenue to be recovered from tariff customers in the 2021/22 financial year," Nersa said in a terse statement on Tuesday. Eskom has long complained that Nersa has awarded it lower increases than it had applied for, worsening a spiralling financial crisis. The upshot is that "this will result in an average tariff percentage increase of 15.63% in the 2021/22 financial year". Inflation in December was running at 3.1%, so such an increase will effectively be five times the current inflation rate. Inflation remains muted against the backdrop of a fragile economy with an unemployment rate well above 40%, based on its most telling definition. But the South African Reserve Bank has warned that administered prices – which include power tariffs – are an upside risk to the inflation outlook. Petrol prices are also bubbling at the moment, with more increases foreseen at the pumps in the coming months. So this has the potential to nip further interest rate cuts in the bud. Then there are the rising power costs to business at a time when one of the many pledges to come out of President Cyril Ramaphosa's administration is to reduce the cost of doing business. That is not about to happen for power-intensive industries such as mining, manufacturing and large-scale commercial agriculture. If there is a light at the end of this tunnel, it can't be switched on, thanks to Eskom.

Application: Summarization

Output summary:

Eskom's woes translate into woes for the entire economy of South Africa.

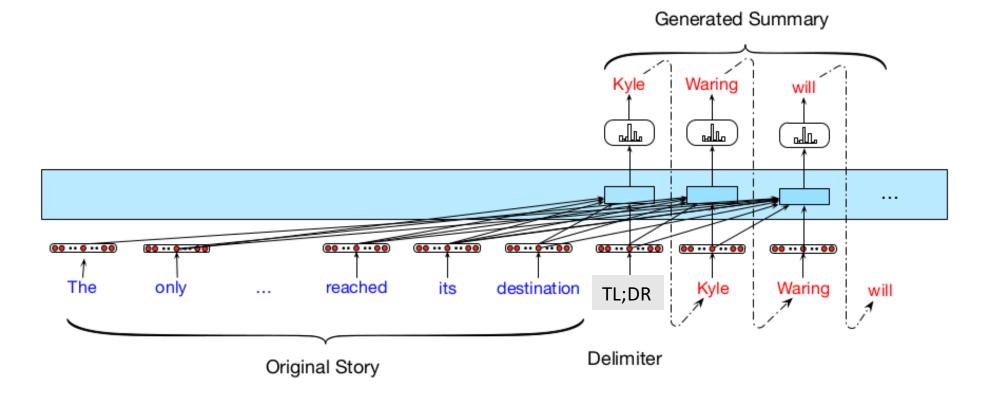
Will the litany of bad economic news ever end?

The Gauteng High Court has ordered that Eskom can reap another R10-billion in the 2021/22 financial year, which means an effective increase in power prices of more than 15%.

Inflation in December was running at 3.1%, so such an increase will effectively be five times the current inflation rate.

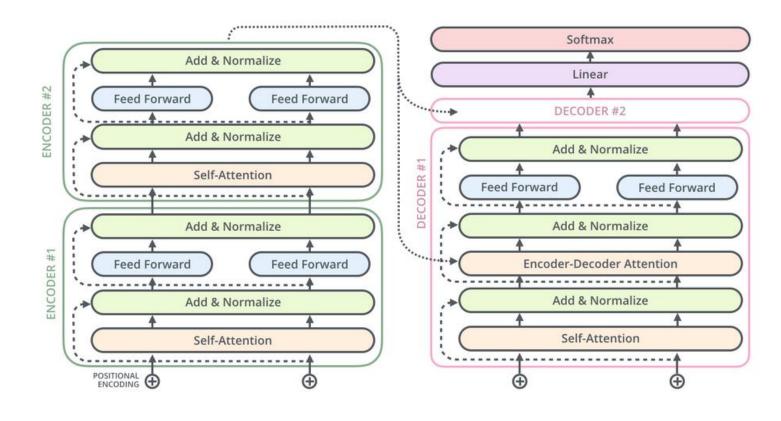
"The National Energy Regulator of South Africa (Nersa) announced... that the High Court of South Africa (Gauteng Division) has ordered that an amount of R10-billion be added to Eskom's allowable revenue to be recovered from tariff customers in the 2021/22 financial year," Nersa said in a terse statement on Tuesday.

• Application: Summarization



Encoder-decoder Transformers

• Decoder also has both self-attention and attention between the encoder and decoder



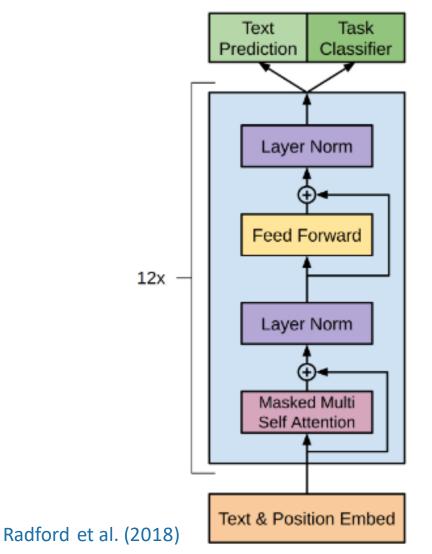
https://jalammar.github.io /illustrated-transformer/

Contextualized Representations

- So far, we have seen pre-trained word embeddings that can be reused across tasks and models
- But these embeddings are context independent one learned embedding per word regardless of context
- RNN and Transformer hidden states do give us context-dependent vectors corresponding to each state
- Can we utilise these representations as reusable, pre-trained contextualized embeddings?

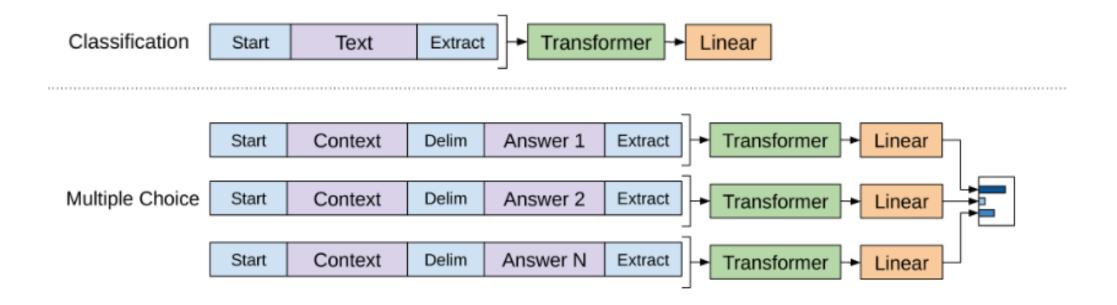
GPT: Generative Pre-trained Transformers

- Transformer language model trained on ~1B word corpus
- Forward model only (causal attention)
- Add task-specific output layer
- Fine-tune all parameters on language understanding tasks



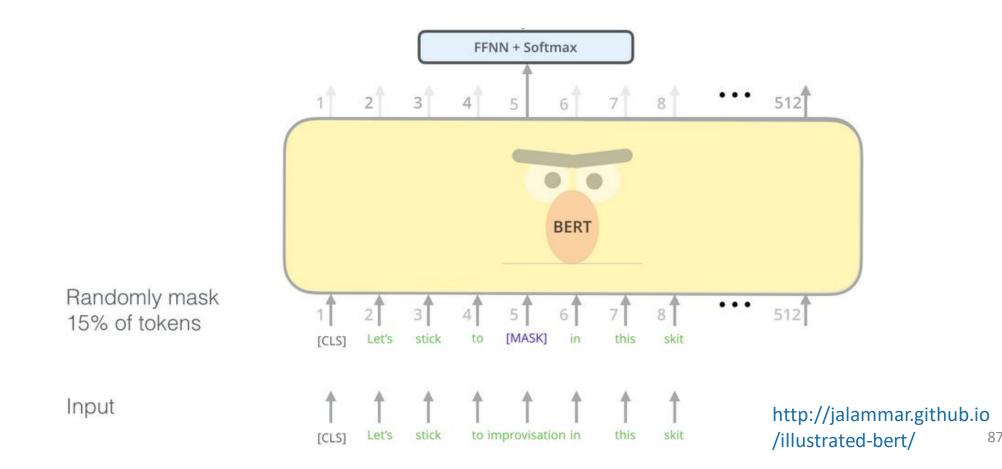
GPT: Generative Pre-trained Transformers

• For different tasks, just change the input sequence and fine-tune:



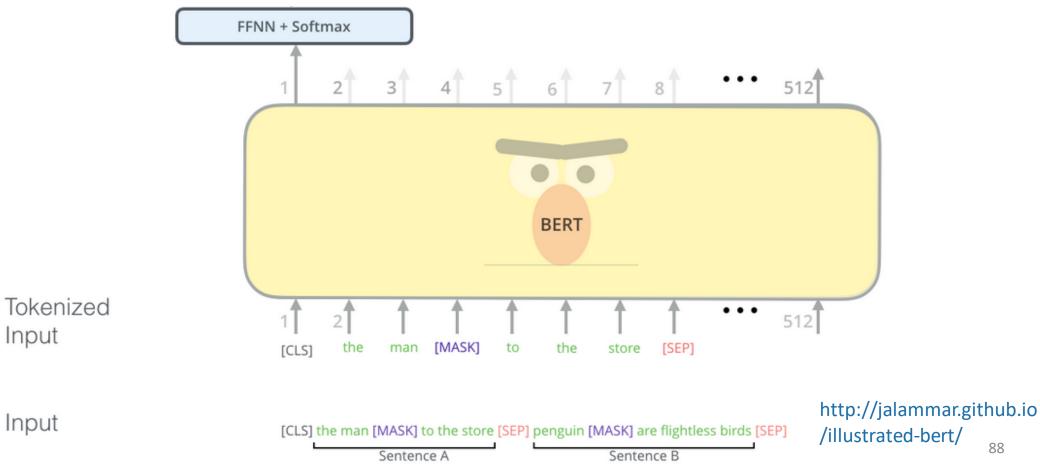
BERT: Bidirectional Encoder Representations from Transformers

• Pretraining with masked language modelling

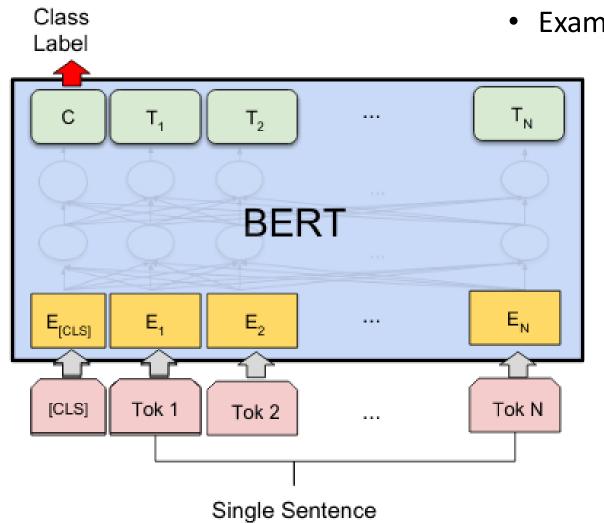


BERT: Bidirectional Encoder Representations from Transformers

Pretraining with next sentence prediction



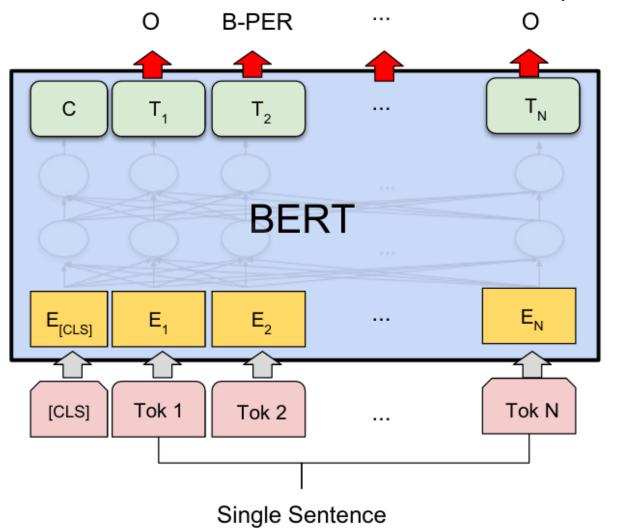
BERT for Classification



• Example: Sentiment analysis

Devlin et al. (2018) 89

BERT for Sequence Labelling



• Example: Named Entity Recognition

BERT for Question Answering

Question

How much will Eskom increase power prices?

Run Model

Model Output

Answer

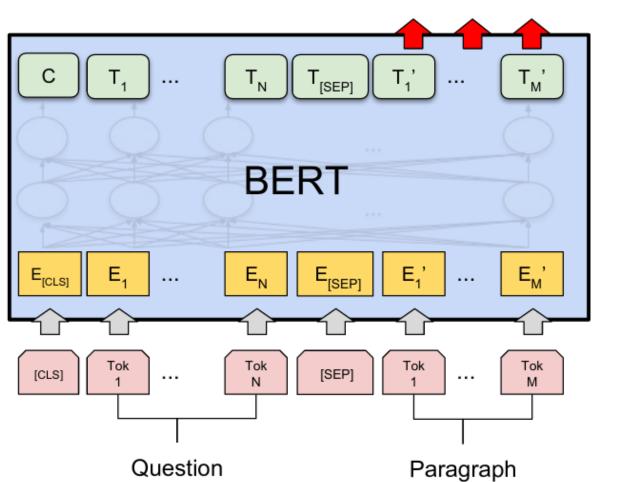
more than 15%

Passage Context

Will the litany of bad economic news ever end? The Gauteng High Court has ordered that Eskom can reap another R10-billion in the 2021/22 financial year, which means an effective increase in power prices of **more than 15%**. Eskom's woes translate into woes for the entire economy of South Africa. The state-run power utility's inability to provide reliable power is a huge obstacle to investment, economic growth and job creation. Then there is the issue of soaring prices for its sputtering service. Prices are about to jump by more than 15% in the coming financial year, adding an additional cost burden to South African industry and consumers just when they are least able to absorb it. "The National Energy Regulator of South Africa (Nersa) announced... that the High Court of South Africa (Gauteng Division) has ordered that an amount of R10-billion be added to Eskom's allowable revenue to be recovered from tariff customers in the 2021/22 financial year," Nersa said in a terse statement on Tuesday. Eskom has long complained that Nersa has

Share

BERT for Question Answering



Start/End Span

GPT-2 and GPT-3

• Large-scale training



GPT-2: 40GB GPT-3: >1 TB



GPT-2 (2019): 1.5 billion parameters GPT-3 (2020): 175 billion parameters

GPT-2 Text Generation

• Automatic news article generation

Prompt: In a shocking finding, scientist discovered a herd of unicorns living in a remote, previously unexplored valley, in the Andes Mountains. Even more surprising to the researchers was the fact that the unicorns spoke perfect English.
Machine-written continuation: The scientist named the population, after their distinctive horn, Ovid's Unicorn. These four-horned, silver-white unicorns were previously unknown to science. Now, after almost two centuries, the mystery of what sparked this odd phenomenon is finally solved. Dr. Jorge Pérez, an evolutionary biologist from the University of La Paz, and several companions, were exploring the Andes Mountains when they found a small valley, with no other animals or humans.

GPT-3 Zero-shot and few-shot learning

Question Answering

| Context \rightarrow Q: Who played tess on touched by an angel? | |
|--|--|
| | A: |
| Target Completion \rightarrow | Delloreese Patricia Early (July 6, 1931 { November 19, 2017), known professionally as Della Reese |

• Machine Translation

| $\texttt{Context} \ \rightarrow$ | Keinesfalls dürfen diese für den kommerziellen Gebrauch verwendet werden. |
|----------------------------------|---|
| | |
| Target Completion $ ightarrow$ | In no case may they be used for commercial purposes. |

Conclusion

- Deep learning methods can learn powerful representations of word and sequences
- This has enabled state-of-the-art performance on most NLP tasks, and enabled new applications not previously feasible
- But deep learning methods are not perfect and many interesting research questions remain open

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- <u>https://demo.allennlp.org</u>